

**Universitatea Babeş – Bolyai Cluj-Napoca**

**Facultatea de Istorie și Filosofie**

**EXTINDEREA EUROPEANA SI REDEFINIREA  
TRANSATLANTICISMULUI**

**Teza de doctorat**

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# Cuprins

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## **REZUMAT**

Isotria extinderii si integrarii Uniunii Europene (UE) este strans legata de relatiile transatlantice. Cercetarea originilor si a dezvoltarii treptate a conceptului Uniunii Europene scoate in evidenta o retea stransa de interconexiuni intre natiunile europene si Statele Unite ale Americii (SUA). Din punct de vedere politic, economic, social si cultural, relatiile transatlantice s-au concretizat printr-o masa impresionanta de acorduri, aranjamente, planuri, conferinte, intiative si politici care reflecta imprejurarile istorice cat si provocarile si oportunitatile de cooperare pentru americani si europeni.

Acum, in scoulul XXI, istoria extinderii UE inchide un capitol de cinci decenii care au dus la maturitatea progresiva a Uniunii, iar cu Tratatul de la Lisabona au deschis un nou capitol care va defini impactul global al UE cat si noi curente in relatiile transatlantice. Atributiile crescande ale institutiilor UE si piata Uniunii Europene dovedesc ca Uniunea s-a consolidat si a devenit un concurrent capabil sa provoace puterea dominanta a colosului American.<sup>1</sup> Pe masura ce conceptual unei Uniuni a natiunilor europene s-a dezvoltat, curand dupa cel de al doilea razboi mondial, iar amenintarea comunista era tot

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<sup>1</sup> Nye, J.S. (2002), *The paradox of American power. Why the world's only superpower can't go it alone*. New York: OUP

mai puternica, relatiile Americano-europene au fost redefinite, iar SUA s-a afirmat ca "liderul de necontestat al lumii occidentale."<sup>2</sup> Liderșipul American, alături de asistența economică și financiară, a facut posibila restructurarea Europei Occidentale și a creat pe vechiul continent condițiile care vor face posibil și vor incuraja țările din Europa Occidentală să ia în considerare posibilitatea stabilirii unei uniuni economice și politice.

Acest secol, al XXI-lea, a consolidat puterea SUA că și rolul sau de supra-putere mondială. Atentatele teroriste de la 11 septembrie 2001 pe teritoriul american au creat noi dimensiuni ale hegemoniei americane, cum ar fi demonstrația indisputabilă a forței militare americane (uneori criticată), ducând la o detriorare semnificativă a relațiilor SUA cu aliații tradiționali din Europa. Pentru a continua procesul de redresare a relațiilor transatlantice, atlanticismul și europenismul vor trebui înlocuite de *transatlanticism*; autorul acestei lucrări consideră că evoluția pozitivă a transatlanticismului va fi posibila pe măsura ce SUA își reasumă rolul conducător pe plan global și acceptă parteneriatul unei Uniuni Europene puternice.

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<sup>2</sup>Biedenkopf, K. (2002), *The United States and Europe: changing dimensions of transatlantic relations*. Madison: University of Wisconsin. p. 22.

Potrivit opiniilor lui Cohen-Tanugi, "complementaritatea dintre o Europa mai puternica si o America mai deschisa, si perspectivele pe care alianta lor renoita le ofera lumii pledeaza puternic pentru o astfel de viziune."<sup>3</sup>

Al cincilea val de extindere a UE (2004-2007) a fost un succes. Sapte si respectiv patru ani dupa largirea fara precedent a granitelor UE catre est, cele 12 noi state membre isi continua procesul de integrare, infirmand scenariile pesimiste care au precedat extinderea. "Toti castiga pe masura ce noile state membre devin mai bogate," anunta un comunicat de presa pe pagina Internet la Uniunii Europene in mai 2006.<sup>4</sup>

La 1 mai 2004, opt dintre fostele tari comuniste si doua natiuni mmediteranene au devenit membre UE: Cipru, Republica Ceha, Estonia, Ungaria, Letonia, Lituania, Malta, Polonia, Slovakia, si Slovenia. La 1 ianuarie 2007, li s-au alaturat Bulgaria si Romania, marind UE la 27 de state membre si creand o piata cu o populatie de 500 de milioane de locuitori. UE considera extinderea un prilej de a promova stabilitatea pe continent si de a incuraja integrarea statelor membre.

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<sup>3</sup> Cohen-Tanugi, L. (2003). *An alliance at risk. The United States and Europe since September 11*. Baltimore, MD: The Johns Hopkins University Press.p. 128

<sup>4</sup> European Commission.(2006), Press Release. Retrieved from:  
<http://europa.eu/rapid/pressReleases.do?reference=IP/07>

Procesul integrarii noilor state din cel de al cincilea val a fost lansat in cadrul obiectivelor strategice de zece ani ale Uniunii adoptate la summitul de la Lisabona din luna martie 2000.<sup>5</sup> UE s-a angajat "sa devina cea mai competitiva si dinamica economie mondiala, capabila de a mentine o economie sustenabila si de a realiza coeziune sociala."<sup>6</sup> Intelegand perfect provocarea de a accepta noi membri, oficialitatile UE au notat ulterior ca "anul 2004 a fost o piatra de incercare istorica in ceea ce priveste extinderea."<sup>7</sup> UE a monitorizat cu atentie Bulgaria si Romania pentru a le asigura aderarea in 2007 si a continuat strategia de promovare a cooperarii regionale. Croatia si Turcia negociaza cererile lor de aderare, iar natiunile din Balcanii Occidentali vor incepe procesul de aderare atunci cand vor fi indeplinit conditiile riguroase ale aderarii la UE.

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<sup>5</sup> La summitul de la Lisabona, Portugalia, in martie 2000, liderii europeni au stabilit obiectivul de a realiza o crestere economica de 3% si de a crea 20 milioane de noi locuri de munca pana in 2010. Agenda de la Lisbona a inclus o serie de obiective in diverse domenii cum ar fi angajarea fortei de munca, piata libera, liberalizarea comertului si probleme de mediu.

<sup>6</sup> European Council. (2000), Conclusions of the Presidency. Para. 2. Retrieved from: [http://www.europarl.europa.eu/summits/lis1\\_en.htm](http://www.europarl.europa.eu/summits/lis1_en.htm)

<sup>7</sup> Rehn, O. (2005), Enlargement under Stress: the policy of consolidation, conditionality and communication. Retrieved from: [http://ec.europa.eu/enlargement/archives/ear/publications/main/public-speech\\_20050712.htm](http://ec.europa.eu/enlargement/archives/ear/publications/main/public-speech_20050712.htm)

Al cincilea val a avut o importanta deosebita si pentru ca a coincis cu extinderea NATO. Pornind de la Propunerea de la Vilnius din 2000 privind extinderea Aliantei Nord Atlantice,<sup>8</sup> potrivit cu politica 'usilor deschise' si cu indemnul Statelor Unite catre o *Europa implinita, libera si pasnica*, sapte noi state au fost invitate sa adere la NATO in timpul sumitului care a avut loc la Praga in noiembrie 2002. O luna mai tarziu, la sumitul de la Copenhaga, in decembrie 2002, zece state au fost invitate sa adere la UE. Ambele extinderi au avut loc in 2004.<sup>9</sup>

In a doua jumata a secolului XX, relatiile transatlantice au evoluat in jurul problemelor de securitate garantate de NATO, iar americanii si europenii si-au coordonat cu succes eforturile impotriva adversului comun in timpul Razboiului Rece. Uniunea Sovietica s-a dezintegrat in 1991, confirmand succesul deceniilor de lupta persistenta impotriva comunismului. Relatiile transatlantice au intrat intr-o perioada mai dificila in perioada urmatoare. Se vorbeste despre *ruptura tansatlantica*,

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<sup>8</sup> La conferinta Rolul NATO in Schimbarea Mediului de Securitate din Europa, tinuta la Vilnius, Lituania, 18-19 mai, 2000, si-au exprimat intenția de a adera la NAOT guvernele urmatoarelor tari: Albania, Bulgaria, Estonia, Letonia, Lithuania, Macedonia, Romania, Slovakia, si Slovenia.

<sup>9</sup> La 28 martie, 2004, Bulgaria, Estonia, Letonia, Lithuania, Romania, Slovakia, si Slovenia au aderat la NATO. La 1 mai, 2004, zece noi state au devenit membre UE. Bulgaria si Romania au devenit membre UE la 1 ianuarie 2007.

divergente, diviziuni persistente în relațiile transatlantice.<sup>10</sup> Occidentul pare să fi pus capăt Razboiului Rece cu prețul înrăutăririi dialogului strategic între aliații tradiționali.

### **Obiectivele tezei și întrebări de cercetare**

Pornind de la considerațiile prezentate anterior, teza urmărește trei obiective. Primul obiectiv este să demonstreze că, prin evenimente care au dus la înființarea și progresul proiectului european, cel de al cincilea val de extindere a contribuit la consolidarea UE. Cercetarea dovedește că aderările la UE și NATO au fost factori decisivi în implementarea programelor accelerate de reformă în noile state membre din fostul bloc comunista. Demonstrăm de asemenea că adoptarea Tratatului de la Lisabona este un moment important care interesează poziția UE ca actor mondial.

Al doilea obiectiv al lucrării este să demonstreze că aderarea României la UE a fost un proces plin de porvocari, marcat alternativ de succes și lipsuri. Acest proces este în continuare în curs de desfășurare și depinde de voința

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<sup>10</sup> Vezi Cohen-Tanugi, L. (2003), *An alliance at risk. The United States and Europe since September 11*. Baltimore, MD: The Johns Hopkins University; și Serfaty, S. (2005), *The vital partnership. Power and order. America and Europe beyond Iraq*. Lanham, MD: Rowman & Littlefield.

cetatenilor romani si oficialitatilor romane ca Romania sa devina un participant activ la procesul decizional din UE.

Al treilea obiectiv al tezei este sa contruiasca un rationament care sprijina ideea ca, in secolul XXI, SUA si UE au facut eforturi sustinute pentru a depasi majoritatea momentelor tensionate din relatiile transatlantice. Impactul direct al *restului lumii* asupra pozitiei conducatoare a SUA intr-o lume multipolară schimba fundamental relatiile transatlantice. SUA, UE si NATO sunt factori de decizie pe plan mondial si teza demonstreaza necesitatea stabilirii unui nou Forum SUA-NATO-UE care sa dea posibilitatea membrilor celor doua organizatii sa participe la procesul decizional.

Ne concentram asupra urmatoarelor intrebari: Este posibil ca tensiunea din relatiile transatlantice sa fi generat o ruptura de lunga durata? Care sunt cauzele acestei tensiuni? Mai este NATO o organizatie cu impact actual? Cum au reacționat factorii decizionali americani la perspectiva unei Uniuni Europene consolidate care devine un concurent pe plan mondial? Care sunt radacinile conceptului UE? Care sunt momentele semnificative in procesul care duce la inaintarea si consolidarea Uniunii? Care sunt cauzele evenimentelor asincrone care au dus la definitivarea uniunii politice, economice si monetare? Care este impactul istoriei europene si americane asupra relatiilor

transatlantice? Care sunt alternativele in gasirea unor optiuni realiste pentru redefinarea transatlanticismului in secolul XXI?

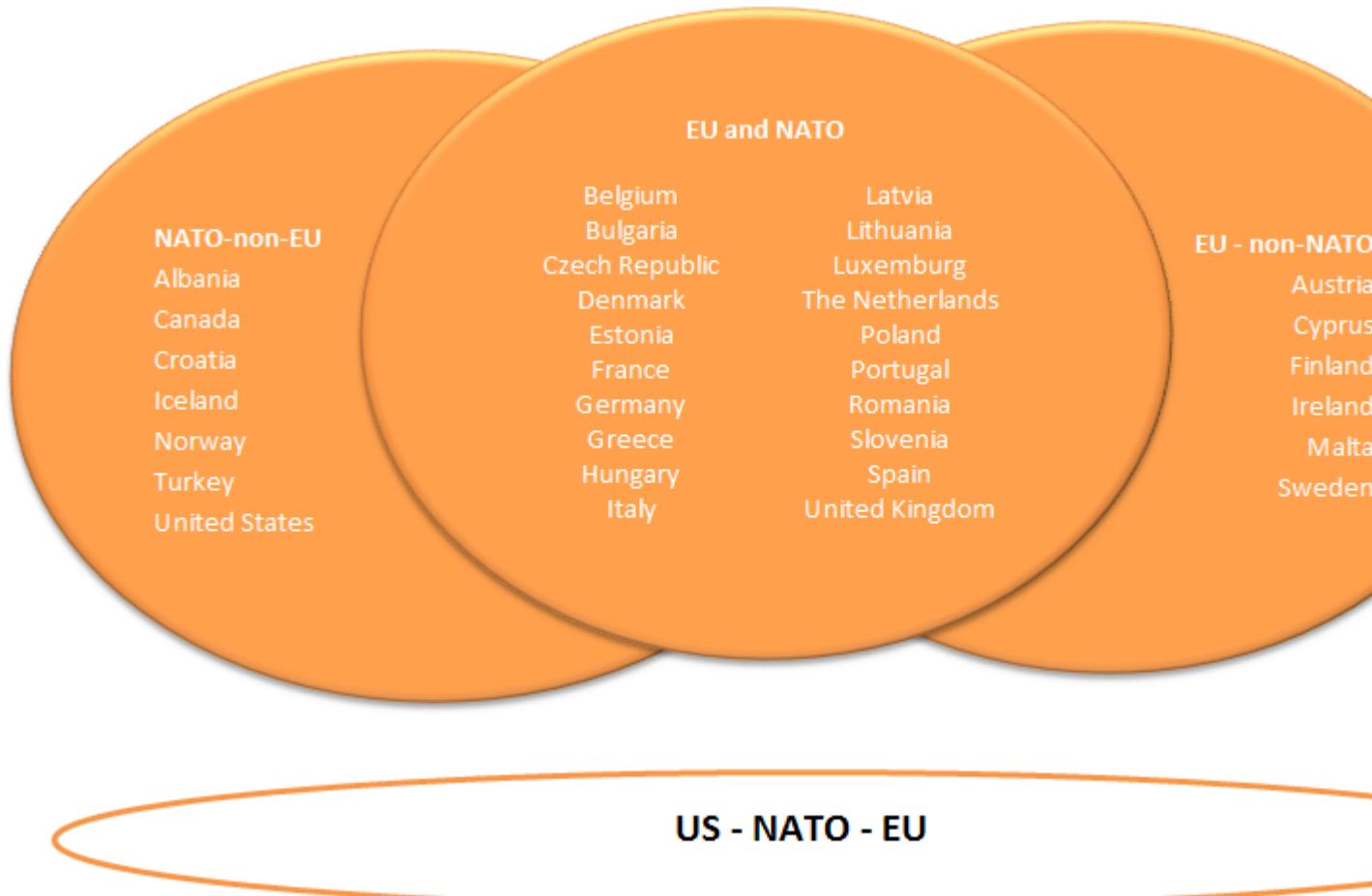
### **Contributia tezei**

Cu o trecere in revista a momentelor principale ale istoriei europene pe baza literaturii de specialitate, a documentelor UE, a surselor credibile din presa, realizam o sinteza a desfasurarilor cheie ale proiectului UE. Desi ne concentram in primul rand asupra celui de al cincilea val de extindere europeana, teza discuta si evenimente curente care au relevanta directa pentru intrebarile cercetarii.

Studiul de caz Romania analizeaza procesul de aderare cu accent pe rezultatele obtinute in timpul procesului de negociere. Folosind surse academice si interviuri cu participanti la process, cercetarea contribuie la o mai buna intelegerere a cazului special al Romaniei ca tara candidata si apoi ca nou membru al Uniunii Europene din ianuarie 2007.

Pentru secolul XXI, recomandam ca SUA si UE sa adopte o politica de stransa cooperare, in contextul in care SUA continua sa sprijine integrarea europeana. Asociindu-ne sugestiei facute de Simon Serfaty, distins om de stiinta si expert in relatii internationale, sugeram infiintarea unui nou forum SUA-NATO-UE care sa inlesneasca o mai buna coordonare UE - NATO. Acest

forum va da posibilitatea Uniunii să își exprime un punct de vedere comun, în condițiile în care statele europene, separat, nu pot indeplini un rol major pe plan internațional – opinie validată prin recentele desfașurări în Africa de Nord.



Acest Forum Nou va include sapte state membre NATO care nu sunt membre în UE și sase state membre în UE care nu sunt membre în NATO.

### **Metodologia si structura tezei**

Paradigma teoretica dominanta in studiul si practica relatiilor internationale dupa cel de al doilea Razboi Mondial a fost realismul. Realismul politic promovat de Hans Morgenthau si Henry Kissinger trebuie sa fie in mod sistematic complementat de o abordare tinand cont de interdependentele complexe recomandata de Joseph Nye si Robert Keohane pentru a punte intrelege si anticipa desfasurarea evenimentelor. Aceasta cercetare aduce o contributie la descrierea noului transatlanticism ca rezultat al interdependentelor globale.

Folosim o abordare calitativa pentru atingerea obiectivelor tezi si structuram argumentele lucrarii in sapte capitole. Capitolul 1 include momentele principale ale procesului dezvoltarii Uniunii Europene. Pornind de la o seletare subiectiva a materialului de cercetare, acest capitol cuprinde o prezentare din punct de vedere istoric a Comunitatii Europene si face o prezentare substantiala a principalelor tratate care au culminat cu Tratatul Uniunii Europene.

Capitolul 2 include o prezentare amanuntita a celui de al cincilea val de extindere a UE. Folosind documente UE, analizam trasaturile principale ale acestei extinderi si discutam criteriile de aderare si prioritatea de democratizare din cadrul

procesului. Discutam de asemenea complementaritatea extinderii UE si NATO.

Aderarea Romaniei la UE este subiectul Capitolului 3. Cercetarea se concentreaza asupra a trei perioade distincte in procesul aderarii, scotinad in evidenta principalele obstacole din timpul perioadei de negociere (2000-2004), provocarile periodei de monitorizare, si drumul inegal urmat de Romania dupa ce a devenit membra UE.

Inovatiile, slabiciunile, si primele rezultate ale Tratatului de la Lisabona sunt discutate in Capitolul 4. Analiza se baseaza atat pe intelegerea noastra a Tratatului cat si pe concluzii trase din discutii purtate su oficialitati UE si americane.

Capitolul 5 face o sinteza a punctelor cheie ale perspectivei americane asupra extinderii europene. Bazandu-ne pe surse de specialitate, facem o prezentare paralela a extinderii americane si europene, discutam dinamica care a dus la formarea perspectivei americane asupra extinderii, si sublieniem contributia principalilor facotri de decizie asupra relatiilor transatlantice.

Capitolele 6 si 7 ofera o evaluare a relatiilor transatlantice, concentrandu-se asupra transatlanticismului.

Capitolul 6 face o inventariere a faptelor si perceptiilor privind relatiile transatlantice, subliniaza provocarile care pot fi transformate in oportunitati pentru imbunatatirea relatiilor si include o prezentare de ansamblu a economiei transatlantice.

Unltimul capitol, Capitolul 7, include o analiza a politicii de vecinatate UE si a intereselor americane in zona. Puterea militara americana, NATO si ESDP sunt alte sub-sectiuni ale acstui capitol care se concentraza asupra transatlanticismului si a impactului pe care *restul lumii* il are asupra relatiilor celor doi aliazi traditionali, SUA si UE. O discutie asupra relatiilor SUA si UE cu China, Rusia, si tari din Oriental Mijlociu, Arica de Nord si America Latina furnizeaza argumente folosite pentru formularea unor recomandari privind imbunatatirea relatiilor transatlantice si redefinirea transatlanticismului.

Concluziile fac un sumar al principalelor rezultate ale cercetarii si scot in evidenta caracteristicile distinctive ale UE dupa cel de al cincilea val de extindere. Importanta studiului de caz, Romania, este prezentata in contextul procesului de aderare. Transatlanticismul care modalitate superioara de cooperare in secolul XXI este considerat ca fiind cea mai potrivita modalitate pentru asigurarea unei pozitii de

conducere americane si europene in contextul lumii multi-polare a secolului XXI.

**CUVINTE CHEIE:** extindere europeana, Uniunea Europeana, relatii transatlantice, transatlanticism, globalizare, NATO, interdependente globale, politica externa SUA, Tratatul de la Lisabona, aderarea Romaniei.

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