Universitatea "Babeș-Bolyai", Cluj-Napoca Facultatea de Litere

READING THE SELF / THE OTHER: ALDOUS HUXLEY AND ETHICAL CRITICISM

- teză de doctorat –

SUMMARY

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KEYWORDS:

Self, Other, ethical criticism, interpretive, ethical and aesthetic judgments, emotional and intellectual implications, instability, tension, resolution, encounter, exploration, narrative form, storytelling, temporal planes, remembrance, ethical/aesthetic pursuit, hypothetical author – textual phenomena – readers, ethical dilemmas, (re)configuration, responsibility, engagement, audience, response, judging characters/situations/choices, narrative progression/dynamics, readerly dynamics, agency.

SUMMARY

The particular interest shown by scholars to the ethical realm across time, along with its renewal occurring during the 1980s and continuing up to the contemporary settings, unfolding within multifarious fields of cognitive investigation as well as the ethical desideratum demanded/advanced by the current socio-cultural climate have shaped the wider background of our research, carried out in the sphere of literary studies. Through the exploration of the ethical dimension of some of Aldous Huxley's fictional worlds the present research aims at unfolding the mesmerizing paths of communication (occurring between the hypothetical author, the text and the readers) and their effects upon readers' involvement with the narrative world throughout the reading process and after its completion.

By approaching Huxleyan narratives through the prism of ethical criticism we propose a different, as well as creative, and perhaps less familiar manner of attending Huxley's fictional worlds within the sphere of literary studies. The present doctoral thesis unfolds around a particular understanding of the ethical and of its mechanisms, thus moving beyond the moral dimension (even though, without leaving it aside) previously shaped in several Huxleyan literary studies. Throughout the present research we adopted the view according to which ethics outlines a comprehensive approach of the individual's inward and outward experience by means of developing various ethical dilemmas raised within specific circumstances and socio-economic, philosophical, temporal, spatial, etc. narrative contexts.

However, the ethical exploration advances in close connection to the framework provided by the aesthetic, affective and intellectual implications coming into being across the reading process and at its ending. Hence, ethical criticism does not focus on certain features in the detriment of others. On the contrary, what it actually seeks is to (re)construct the ethical pattern on which the work was built, while also paying attention to the multiple interactions occurring among three fundamental agents - the hypothetical author, the text, the readers – extensively dealt with by James Phelan's rhetorical ethical project, whose interpretive guidelines have been pursued within Huxley's fictional realms.

Huxley's ethical considerations require a gradual exposure of the readers to the subtle and carefully studied and designed mechanisms lying behind the construction of the Self and the Other, special emphasis being placed on the myriad of associations invited/demanded by the texts so that the readers might be able to access the nature of the multiple ethical issues encompassed by each narrative. The numerous hypotheses advanced in relation to the ethical dilemmas posed by Huxley's fictional texts are prone to undergo a consistent and prolonged

process of alteration due to the continual flow of narrative data capable of modifying any preestablished positions / views.

In the context of the twentieth century ambiance, when "[s]ociety became more fragmented and individual identities more fluid" 1, when pressure was exerted "on the individual's sense of what is valid in experience" 2 Huxley's novels advanced an alternative to the utter confusion settled at various levels of human existence by means of placing under attentive scrutiny the individual's approaches of his own self, of the other and of their inescapable interaction. For the twenty-first century readers, Huxley's fictions may fulfil a dual function. On the one hand, the novels may take the readers back to the past and offer them a representation of the crisis undergone by the individual living in the roaring twenties. On the other hand, the narratives may challenge the readers' ethical view by confronting them with situations difficult to categorize without having the sense that something has been lost/left aside.

The three Huxleyan novels analysed throughout this doctoral thesis, *Point Counter Point, Eyeless in Gaza* and *The Genius and the Goddess*, may be said to explore (at least at one level of interpretation) an existential situation that preoccupied several writers from the twentieth century, i.e. the paradox of acknowledging that "[h]umans are unique individuals and need privacy" while "they are also social beings and need communion." This frame of reference may serve as a starting point for these novels but its treatment across the narratives refines the readers' understanding of and their engagement with the problematic scenery Huxley invites them to research. Pursuing Huxley's representation of the complex and, at times, highly problematic stages leading his characters to a more comprehensive perception of their interiority as well as of the others, this dissertation sets on the path of unfolding the intriguing (re)configuration of the Self/the Other within the ethical fabric depicted throughout each narrative. Our interpretive endeavour discloses Huxleyan perspectives on the sense of selfhood, on otherness and on their interconnectedness, outlined by means of the ethical, aesthetic, emotional and intellectual engagement fostered in the audiences.

The first chapter, *Ethical Criticism and Its Challenging Insights*, outlining the theoretical framework of the method of interpretation adopted throughout the literary research, discusses briefly the path followed by ethical investigations within literary studies from the 1980s up to the beginning of the twenty-first century and explores in more detail the branches of ethical

¹ Ronald Carter, John McRae, *The Routledge History of Literature in English, Britain and Ireland*, with a foreword by Malcolm Bradbury, London and New York, Routledge, 1997, p. 347.

² *Ibidem*, p. 393.

³ *Ibidem*, p. 394.

⁴ *Ibidem*, p. 394.

criticism, with special emphasis on the rhetorical ethical criticism proposed by James Phelan and on the potential insights brought to this literary research by the Levinasian ethical criticism. The three subchapters included here ensure a smooth passage from a broader vision to a more specific and particular view of the ethical domain within literary studies.

James Phelan's ethical project facilitates our access to the multifarious facets of the ethical implications comprised within literary texts. In *Living to Tell about It* (2005) and *Experiencing Fiction. Judgments, Progressions, and the Rhetorical Theory of Narrative* (2007) James Phelan advances a comprehensive approach of narratives and emphasises the fundamental part played by judgments across the reading enterprise. His critical undertaking presupposes working with some key notions such as: interpretive and narrative judgments, textual/readerly dynamics, narrative/authorial audiences, narrative progression, mimetic, thematic and synthetic responses, narrative form/ethics/aesthetics, implied author (instead of this term, we have chosen to work with the notion of 'hypothetical author' according to the more recent studies of Tom Kindt and Hans-Harald Müller⁵), readers, readerly response, instabilities, tensions, etc.

While James Phelan's threefold thesis outlines the role played by readers' judgments, the implications of the temporal process of reading and responding to the narrative, as well as the impact of narrative judgments and progressions upon the process of experiencing narratives, the analysis of the interaction between the author, the text and the readers, highlights the potentially enriching ways of approaching literary worlds and connecting experiences. In addition, the exploration of Huxley's fictional realms presupposes the treatment of peculiar narrative sequences whose interpretation, developed in the light of the Levinasian ethical criticism, may offer not only a distinct, but also a more comprehensive picture of the relations established between the self and the other at various stages of their existence. Thus, Levinas's ethical perspectives comprise the potential of refining our interpretive endeavour by approaching the relation self-other in the context of the self's sense of responsibility for the other.

The following three chapters disclose the manner in which ethical criticism functions within the three chosen Huxleyan novels pinpointing the peculiar ethical dimension advanced by each narrative, as well as, drawing the readers' attention towards Huxley's treatment of the ethical considerations, aesthetic engagement, emotional and intellectual associations prompted by his fictional narrations.

The second chapter, entitled *Wearisome Condition of Humanity* in *Point Counter Point*, pursuing the diverse tracks of communication established between the self and the other, has

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⁵ Tom Kindt, Hans-Harald Müller, *The Implied Author. Concept and Controversy*, Walter de Gruyter –Berlin–New York, 2006.

been divided into five subchapters. The first four deal with specific characters whose interactions have been closely analysed and assessed within the peculiar ethical and aesthetic setting. The ethical investigation has been conducted in relation to the musical structure of the novel, arguing that the narrative form advances a particular understanding of the self/the other and of their interconnectedness, unfolding similarly to the musical counterpoint. The internal structure of the novel affects the readers' narrative and interpretive judgments and their engagement with the narrative world.

The process of self-configuration undergone by most characters in *Point Counter Point* involves different degrees of awareness regarding both the self and the other at the textual level, as well as, at the reader response level. The analysis of the characters' interiority and their approach of the Other(s) unfolds simultaneously with the narrative progression, so that, at each stage, we are compelled to alter our position within the narrative according to the data we access and to the judgments we make as we process and integrate this data within the wider fictional framework. The last subchapter focuses on the effects of the persisting musical fascination and the process of (re)constructing the self/the other pursuing the pattern of the counterpoint. Through the analysis of various characters, whose sense of the self/the other is explored in depth, this chapter gives access to Huxley's particular manner of envisaging the individual's response to the inner and outer challenges, unfolding in connection with the ethical, emotional and intellectual choices s/he makes. Under these circumstances, the characters' choices and their ensuing consequences set the audiences on the path of acknowledging that the avoidance of responsibility is not an available option for the individual who disregards the essence of his interiority and ignores (in different ways) the call of the other.

The third chapter, Eyeless in Gaza: Ethical/Affective Entanglement across Temporal/Spatial Realms, proposes another sort of framework for the encounter of the self with the other. If in Point Counter Point the musical construction has advanced an approach of the individual experiencing living at various levels (similar to the development of the musical counterpoint), in Eyeless in Gaza, the background for this remarkable encounter of minds, souls, ideas, etc. consists in a broken chronology which, in turn, affects deeply the readers engagement with the characters' decisions and situations. The fragmentation of time and the perpetual movement from one spatial reference to another inaugurate a distinct manner of approaching the self/the other. Hence, our ethical exploration focuses on the readers' ability of making connections across time and space, at the textual level and beyond it, and on their degree of receptiveness regarding Huxley's treatment of the mechanism lying behind the characters' construction of selfhood and otherness.

The process of putting together the pieces of the puzzle representing Anthony Beavis's selfhood requires persistent efforts and active involvement in the narrative situations displayed. The readers of *Eyeless in Gaza* are encouraged to (re)configure Anthony Beavis's individuality by means of the sequences they witness across the reading enterprise. The protagonist attempts some sort of understanding of his previous experience through the development of several dialogues with the ones he encounters along his ongoing existential voyages. The ethical investigation developed in this section is linked to the types of changes experienced by the characters within the narrative and by the readers in connection with the narrative situations they have to cope with throughout the narrative progression.

In *Eyeless in Gaza* the encounter self - other reveals singular occasions, for both agents, to acknowledge the nature of their inwardness and to become aware of the salience of the response they offer to each other. The ethical dilemmas experienced by Anthony Beavis move from simple to complex, from a general approach to a particular one, keeping a record of the heterogeneous alterations occurring at the internal level of the protagonist's quest for self-discovery. The series of events unfolding within the narration may be similar to the apparently⁶ haphazard associations prompted by the individual's memory when he attempts to make sense of his previous life experience. However, neither the narrator's account nor the narrative form impedes us from envisaging the storytelling as one possible reflection of Anthony's voyages to the past and the present, travels facilitated by the workings of his memory. Leaving aside for a while the chronological order of events, Huxley invites his audiences to relate to the affective and ethical bonds that link one happening to another according to the resonance they have for the individual who experiences them. The intensity of the impact exerted upon the protagonist's sense of selfhood by each specific occurrence (either from the present or from the past) depends on Anthony's mode of internalising the critical situations he goes through.

The development of instabilities and tensions unfolding across the novel facilitates the readers' access to the protagonist's fundamental past experiences, the constant alternation of recent and remote past sequences leading to a dual representation of Anthony's quintessence: one belonging to the character himself and another emerging from the position of observers occupied by the readers. Huxley challenges his audiences to examine the ethical contexts from various angles having distinct backgrounds so that they might be able to grasp the wide range of complexities surrounding the interconnected narrative sequences. The modification of the

⁶ We consider that these associations are urged by an emotional set of reactions which, more often than once, move beyond the individual's capacity of coping with them in a reasonable manner. Therefore, behind the impression of spontaneous flow of events lies the emotional bond that brings the pieces together in the manner that best fits the protagonist's approach of interiority/exteriority.

characters' attitudes, beliefs and values across the progression of the narrative alters the readers' previous interpretive, ethical and aesthetic judgments, thus demanding constant (re)configuration of the self and the other and adaptation of interpretation to the set of details disclosed across the novel. From the position of observers of narrative we are expected to weigh / assess every situation according to the previous information and to be willing to change their standpoint as soon as additional material appears in sight.

The fourth chapter, *Self-Configuration through Recollection: The Genius and the Goddess*, explores the workings of the mechanism of remembrance having in view one of James Phelan's contention that "we make sense of our experiences through the stories we tell about them" ⁷. John Rivers, the protagonist of the novel, chooses to (re)tell an experience from his early youth that marked him profoundly and whose implications may be sought within the present position he adopts regarding those events. *The Genius and the Goddess* proposes a distinct approach of two fields of cognition – fiction and reality – placing specific emphasis on the necessity of establishing connections between the two in order to enrich and refine one's personal development.

Our interpretive endeavour concentrates on the dynamic interaction of several ethical situations occurring within the narration and resulting from the position prepared by the text for the audiences. John Rivers struggles with his inner expectations and the options provided by the exterior circumstances. The configuration of his sense of selfhood is developed on mainly two planes of cognition. On the one hand, his beliefs and values are deeply rooted in the education he received at home. As a result, his encounter with the Maartens family brings to surface a set of preconceptions and judgments of which the character himself was unaware until he inhabited a completely different environment. Here we relate to his experience at the textual level. On the other hand, our analysis unfolds simultaneously several investigations at the level of reader-text-hypothetical author interaction, the purpose being that of revealing the mutual dependence of interpretive, ethical and aesthetic judgments and the role they play in the readers' (re)configuration of the protagonist's self and his perception of the other(s).

The distinguishing ethical challenge posed by Huxley's novel comes into sight towards the end of the narrative when John displays the outcome of his affair with Katy Maartens. At this point the protagonist, as well as, the hypothetical author, asks his audience to (re)evaluate the entire storytelling in the light of the denouement indicated. Through the advancement in the narrative world our knowledge has broadened, whereas our desires and expectations have altered

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⁷ James Phelan, *Living to Tell about It. A Rhetoric and Ethics of Character Narration*, Ithaca and London, Cornell University Press, 2005, p. ix.

to such an extent that they need to be revisited in order to attain a fulfilling outcome to our reading enterprise. The ethical bewilderment persists (even if both the protagonist and the readers reach the completion of the storytelling) through the questions left unanswered by the unexpected and slightly precipitated ending. The construction of the text places the audience in a difficult position from which it becomes quite difficult to make an ethical choice being completely assured that it does not do injustice to any of the parts involved. As a matter of fact, the ethical dimension of Huxley's storytelling gradually involves the aesthetic and affective components, the results of their interconnectedness being reflected in the configuration of demanding approaches of the self/the other.

The last part of our dissertation, entitled *Huxleyan Inquiries within Ethical Horizons*, represents the conclusions to the current research of Huxley's particular fictional worlds. Our major interest throughout this doctoral thesis has been that of observing, examining, interpreting and assessing the endless flow of interactions occurring between the self and the other, having the ethical, aesthetic, emotional and intellectual design in the background. Our analysis underlines the ethical and aesthetic framework designed by Aldous Huxley in each of the three novels, while also highlighting the fundamental role played by narrative judgments within the wider endeavour of literary interpretation.

Throughout *Point Counter Point, Eyeless in Gaza* and *The Genius and the Goddess*, the readers are confronted with different, and at the same time, similar ways of conceiving the self and the other. The characters populating these novels struggle to clarify their innermost yearnings, to find some sort of fulfillment to their tormented existence and to reach for the *Other* as a means of (re)discovering the substance that distinguishes them among the miscellaneous clusters of individuals. The abundance of disoriented individuals in search of some stable grounds reflects Huxley's preoccupation with finding answers to the fundamental questions concerning human beings' choices approached in particular living contexts.

The present research highlights the gradual movement from the feeling of alienation (*Point Counter Point*), passing through a feeble sensation of hope (*Eyeless in Gaza*), and reaching a partial reconciliation with the past (*The Genius and the Goddess*) regarding the stages undergone by the characters inhabiting these fictional worlds. The permanent shift from one narrative sequence to another, from one ethical or aesthetic stance to another, from one emotional or intellectual crisis to another has fostered a similar response in the audiences. Therefore, the dynamics of the storytelling finds its reflection in the readerly dynamics. The audiences are easily drawn into the problematic advanced by each narrative sequence by means of the judgments it demands from its observers. The conspicuous vision emerging from our close

study of the three novels, developed in the context of literary interpretation outlined by ethical criticism, attends to the various layers of awareness concerning both the self and the other having the ethical, aesthetic, emotional and intellectual ramifications in the background.

Our dissertation concentrates on the process of (re)configuration of the self/the other as they appear in Aldous Huxley's chosen novels following the pattern designed by James Phelan's rhetorical ethical criticism and enriching its views with the refinement brought by Emmanuel Levinas's philosophical thought. The journey of self-discovery reflects the agents' understanding of their responsibility for themselves and for the others, thus revealing the distinct and problematic nature of the experience they achieve. The characters' voice of conscience has also played an essential part in the achievement of adequate self-awareness or self-comprehension. Huxley's novels emerge as endless series of encounters between various selves and others unfolding in ethical horizons, compelling the audiences to pass judgments on the characters, their situations and choices and to engage with the narrative world in depth seeking to comprehend the mechanism lying behind the events and occurrences.

The ethical dilemmas comprised within Huxley's fictional realms enthrall and intrigue through the multiple approaches they allow/invite, always maintaining some doubts regarding the validity of one option over the other. Actually, the novels we analysed may be said to be based on the development of ethical dualism (each perspective having its own strong/weak points), feature which maintains the readers' curiosity awaken, thus attracting novel interpretive undertakings in the field of literary studies.

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